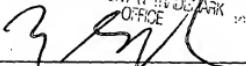


MAIL CERTIFICATION

OFFICE OF FINANCE  
REFUND BRANCH  
PATENTS

702 SEP -5 PM 2:53

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner of Patents, Washington, D.C. 20231 on August 26, 2002

  
Bruce S. Londa

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Atty's Docket No.: 101215-81

APPLICANT : Steffen Panzner et al.

APPLN. NUMBER : 10/081,617

FILED : February 21, 2002

FOR : Amphoteric Liposomes and Their Use

Asst. Commissioner of Patents  
Washington, D.C. 20231

**ATTENTION:**  
Refund Section  
Accounting Division  
Office of Finance

Sir:

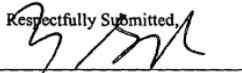
We enclose a copy of the July 2002 Deposit Account Statement for deposit account no. 14-1263. Please note that on July 3, 2002, our deposit account was charged for \$65 for the late filing of the declaration and \$130 for the late filing of the English translation. In addition, the deposit account was charged \$40.00 for the recordal of the assignment on July 8, 2002. These charges are correct.

However, on July 25, 2002 our deposit account was credited \$65.00 for the late filing of the declaration and charged \$130.00. This is not correct. We were advised that the applicant qualifies for small entity after the three month period for requesting a partial refund of the filing fees had expired. The application does however qualify for small entity. The attached Fee

# 61 Reg for  
Refund

10-18-02

Transmittal Form filed with the declaration clearly indicates that applicant claims small entity status. Therefore, please refund the additional \$65.00 charged to our deposit account for the late filing of the declaration.

Respectfully Submitted,  


\_\_\_\_\_  
Attorney for Applicant  
Norris, McLaughlin & Marcus P.A.  
220 East 42<sup>nd</sup> Street, 30<sup>th</sup> Floor  
New York, N.Y. 10017  
Telephone: (212) 808-0700  
Telecopier: (212) 808-0844



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PageDeposit Account Statement

**Requested Statement Month:** July 2002  
**Deposit Account Number:** 141263  
**Name:** NORRIS, MC LAUGHLIN & MARCUS  
**Attention:** WILLIAM R. ROBINSON  
**Address:** P.O. BOX 1018  
**City:** SOMERVILLE  
**State:** NJ  
**Zip:** 08876-1018

DATE	SEQ	POSTING REF	ATTORNEY DOCKET NBR	Fee Code	AMT	BAL
07/01	1	10018560	METAL1282-WC 968		-\$280.00	\$10,282.00
07/01	1	09284701	METAL 1269 121		\$280.00	\$10,002.00
07/01	2	08976820	BEIERSDORF-4 121		\$280.00	\$9,722.00
07/01	2	10018560	METAL1282-WC 581		\$40.00	\$9,682.00
07/01	3	10111747		970	\$890.00	\$8,792.00
07/01	4	09496015	DCR 205-WCG 203		\$63.00	\$8,729.00
07/01	7	PAYMENT		701	-\$10,000.00	\$18,729.00
07/01	11	PCT/US02/16559	NEW OBJ 204 151		\$700.00	\$18,029.00
07/01	12	PCT/US02/16559	NEW OBJ 204 801		\$9.00	\$18,020.00
07/01	13	PCT/US02/16559	NEW OBJ 204 566		\$15.00	\$18,005.00
07/01	18	10120690	TESAAG1528-W 105		\$130.00	\$17,875.00
07/01	48	09423146	METAL 1275-W 120		\$320.00	\$17,555.00
07/01	54	10100839	P09930 US 105		\$130.00	\$17,425.00
07/01	67	09743394	101195-39 279		\$370.00	\$17,055.00
07/01	68	09743394	101195-39 217		\$260.00	\$16,795.00
07/01	437	10049013	METAL 1291-W 154		\$130.00	\$16,665.00
07/02	1	10179794	BEIERSDORF572 101		\$740.00	\$15,925.00
07/02	94	10179864	100726-37 / 101		\$740.00	\$15,185.00
07/02	212	10103205	HERAEUS390-W 581		\$40.00	\$15,145.00
07/02	225	10169020	102460-2 971		\$445.00	\$14,700.00
07/02	226	10169020	102460-2 254		\$65.00	\$14,635.00
07/02	227	10169020	102460-2 965		\$1,050.00	\$13,585.00
07/02	228	10169020	102460-2 969		\$140.00	\$13,445.00
07/02	229	10169020	102460-2 967		\$342.00	\$13,103.00
07/02	381	09253213	BEIERSDORF 142		\$1,280.00	\$11,823.00
07/02	382	09253213	BEIERSDORF 561		\$9.00	\$11,814.00
07/02	383	09253213	BEIERSDORF 195		\$300.00	\$11,514.00
07/02	384	09403682	BEIERSDORF 57 142		\$1,280.00	\$10,234.00

## Deposit Account Statement

Page 2 of 6

07/02 385	09403682	BEIERSDORF 57	561	\$9.00	\$10,225.00
07/02 393	09814263	BAYER 9412.6	142	\$1,280.00	\$8,945.00
07/02 394	09814263	BAYER 9412.6	561	\$9.00	\$8,936.00
07/02 395	09814263	BAYER 9412.6	195	\$300.00	\$8,636.00
07/02 408	09554385	BAYER 10,210	142	\$1,280.00	\$7,356.00
07/02 409	09554385	BAYER 10,210	561	\$9.00	\$7,347.00
07/02 410	09410354	BEIERSDORF59	142	\$1,280.00	\$6,067.00
07/02 411	09410354	BEIERSDORF59	561	\$9.00	\$6,058.00
07/02 641	10110283	101137-37	581	\$40.00	\$6,018.00
07/03 4	08955125	BAYER 9972-W	131	\$740.00	\$5,278.00
07/03 5	08955125	BAYER 9972-W	115	\$110.00	\$5,168.00
07/03 14	PAYMENT		701	-\$10,000.00	\$15,168.00
07/03 129	09631011	101141-8	215	\$55.00	\$15,113.00
07/03 135	09409197	TESA 592-WCG	131	\$740.00	\$14,373.00
07/03 195	10081617	101215-81	205	\$65.00	\$14,308.00
07/03 196	10081617	101215-81	139	\$130.00	\$14,178.00
07/03 224	29163107	LANGORIA	106	\$330.00	\$13,848.00
07/03 225	29163107	LANGORIA	169	\$900.00	\$12,948.00
07/03 252	76425125	100796-13	361	\$325.00	\$12,623.00
07/03 253	76425126	100796-11	361	\$325.00	\$12,298.00
07/03 254	76425127	100796-12	361	\$325.00	\$11,973.00
07/03 255	76425128	100796-14	361	\$325.00	\$11,648.00
07/03 402	78140996		361	\$1,300.00	\$10,348.00
07/03 503	10169268	101257-8	971	\$445.00	\$9,903.00
07/03 504	10169268	101257-8	254	\$65.00	\$9,838.00
07/05 10	10184372	101137-39	101	\$740.00	\$9,098.00
07/05 11	10184372	101137-39	102	\$84.00	\$9,014.00
07/05 12	10184372	101137-39	103	\$396.00	\$8,618.00
07/05 13	10184372	101137-39	105	\$130.00	\$8,488.00
07/05 15	10105021	102228-3	581	\$40.00	\$8,448.00
07/05 34	09423490		704	-\$460.00	\$8,908.00
07/05 200	09445065	BEIERSDORF	117	\$920.00	\$7,988.00
07/05 315	09679568	3096	242	\$640.00	\$7,348.00
07/08 1	10049009	METAL 1289-W	581	\$40.00	\$7,308.00
07/08 1	09063745	BEIERSDORF-4	116	\$400.00	\$6,908.00
07/08 10	10185523	100727- /HE	101	\$740.00	\$6,168.00
07/08 20	09988510	TESA AG 1506	116	-\$400.00	\$6,568.00
07/08 47	PAYMENT		701	-\$10,000.00	\$16,568.00
07/08 71	09400655	BAYER 10,044	122	-\$130.00	\$16,698.00
07/08 159	10019360	BEIERSDORF 7	154	\$130.00	\$16,568.00
07/08 160	10019360	BEIERSDORF 7	116	\$400.00	\$16,168.00
07/08 165	10069553	101384-19	967	\$171.00	\$15,997.00
07/08 192	10019360	BEIERSDORF 7	581	\$40.00	\$15,957.00
07/08 238	10081617	101215-81	581	\$40.00	\$15,917.00
07/09 1	10186063	EISENFUHR 20	101	\$740.00	\$15,177.00
07/09 1	09445333	TTI219-KGB	119	\$320.00	\$14,857.00
07/09 5	09581413	100718-174	119	\$320.00	\$14,537.00
07/09 10	RE36213		184	\$2,020.00	\$12,517.00
07/09 11	5412130		184	\$2,020.00	\$10,497.00

07/23 155	78146247		377	\$300.00	\$20,420.00
07/23 168	78146247		361	\$975.00	\$25,450.00
07/23 277	76432665	101154-9	361	\$325.00	\$25,125.00
07/23 278	76432666	101154-10	361	\$325.00	\$24,800.00
07/23 553	78146482		361	\$325.00	\$24,475.00
07/23 556	78146484		361	\$325.00	\$24,150.00
07/24 1	09719320	BAYER10,218-	115	\$110.00	\$24,040.00
07/24 7	10198315	100717-552 /	101	\$740.00	\$23,300.00
07/24 145	10198471	102460-3	201	\$370.00	\$22,930.00
07/24 146	10198472	101215-100	201	\$370.00	\$22,560.00
07/24 147	10198472	101215-100	139	\$130.00	\$22,430.00
07/24 148	10198472	101215-100	205	\$65.00	\$22,365.00
07/25 1	10081617	101215-81	205	-\$65.00	\$22,430.00
07/25 2	10081617	101215-81	105	\$130.00	\$22,300.00
07/25 9	10123625	TESAAG1526WC 581		\$40.00	\$22,260.00
07/25 26	09901979	BAYER88904-	115	\$110.00	\$22,150.00
07/25 27	09901979	BAYER88904-	126	\$180.00	\$21,970.00
07/25 29	09916006	BEIERSDORF73	115	\$110.00	\$21,860.00
07/25 36	PCT/US02/19651	102313-009	566	\$75.00	\$21,785.00
07/25 55	09154123	101769-25	115	\$110.00	\$21,675.00
07/25 56	09154123	101769-25	120	\$320.00	\$21,355.00
07/25 160	4987459		185	\$3,100.00	\$18,256.00
07/25 161	4987846		185	\$3,100.00	\$15,155.00
07/25 353	78147314		361	\$325.00	\$14,830.00
07/26 1	09656598	BEIERSDORF	117	\$920.00	\$13,910.00
07/26 16	PCT/US02/19659	102313-006	566	\$30.00	\$13,880.00
07/26 16	PAYMENT		701	-\$20,000.00	\$33,880.00
07/26 110	09595033	HERAEUS372-W	119	\$320.00	\$33,560.00
07/26 111	09595033	HERAEUS372-W	116	\$400.00	\$33,160.00
07/26 138	10105832	101769-/TESA	105	\$130.00	\$33,030.00
07/26 140	10105832	101769-/TESA	115	\$110.00	\$32,920.00
07/26 183	10135798	BAYER10,233-	105	\$130.00	\$32,790.00
07/26 273	09775331	BAYER9414.4-	142	\$1,280.00	\$31,510.00
07/26 274	09775331	BAYER9414.4-	561	\$9.00	\$31,501.00
07/26 275	09775331	BAYER9414.4-	195	\$300.00	\$31,201.00
07/26 276	09297121	BAYER 10,177	142	\$1,280.00	\$29,921.00
07/26 277	09297121	BAYER 10,177	561	\$9.00	\$29,912.00
07/26 498	PCT/US02/19656	102313-002 P	801	\$72.00	\$29,840.00
07/26 497	PCT/US02/19656	102313-002 P	566	\$75.00	\$29,765.00
07/29 19	10201411	TESA AG 1546	101	\$740.00	\$29,025.00
07/29 20	10201411	TESA AG 1546	103	\$126.00	\$28,899.00
07/29 32	10202332	100717-557/B	101	\$740.00	\$28,159.00
07/29 83	10202366	TESA AG 1547	101	\$740.00	\$27,419.00
07/29 84	10202366	TESA AG 1547	103	\$270.00	\$27,149.00
07/29 92	10202571	BAYER 10236-	101	\$740.00	\$26,409.00
07/29 160	10198471	102460-3	581	\$40.00	\$26,369.00
07/29 354	10129908	101215-87	156	\$130.00	\$26,239.00
07/29 355	10031539	BEIERSDORF 7	154	\$130.00	\$26,109.00
07/29 356	10031539	BEIERSDORF 7	117	\$920.00	\$25,189.00

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

 Applicant claims small entity status. See 37 CFR 1.27

## TOTAL AMOUNT OF PAYMENT

\$235.00

## Complete if Known

Application Number	10/081,617
Filing Date	Concurrently Herewith
First Named Inventor	Steffen Panzer et al.
Examiner Name	TBA
Group Art Unit	TBA
Attorney Docket No.	101215-81

## METHOD OF PAYMENT (check all that apply)

Check  Credit card  Money Order  Other  None

Deposit Account:  
Deposit Account Number **14-1263**

Deposit Account Name **Norris, McLaughlin & Marcus**

The Commissioner is authorized to: (check all that apply)

Charge fee(s) indicated below  Credit any overpayments

Charge any additional fee(s) during the pendency of this application

Charge fee(s) indicated below, except for the filing fee to the above identified deposit account

## FEE CALCULATION (continued)

3. ADDITIONAL FEES  
(Use English only)

Fee Code (S)	Fee Code (S)	Fee Code (S)	Fee Description	Fee Paid
105	130	205	65 Surcharge - late filing fee or oath	\$6.00
127	50	227	25 Surcharge - late provisional filing fee or cover sheet	
139	130	139	130 Non - English specification	130.00
147	2,520	147	2,520 For filing a request for ex parte reexamination	
112	920*	112	920* Requesting publication of SIR prior to Examiner	
113	1,840*	113	1,840* Requesting publication of SIR after Examiner	
115	110	215	55 Extension for reply within first month	
116	400	216	200 Extension for reply within second month	
117	920	217	460 Extension for reply within third month	
118	1,440	218	720 Extension for reply within fourth month	
128	1,960	228	960 Extension for reply within fifth month	
119	320	219	160 Notice of Appeal	
120	320	220	160 Filing a brief in support of an appeal	
121	280	221	140 Request for oral hearing	
138	1,510	138	1,510 Petition to institute a public use proceeding	
140	110	240	55 Petition to revive - unavoidable	
141	1,280	241	640 Petition to revive - unintentional	
142	1,280	242	640 Utility issue fee (or reissue)	
143	460	230	Design issue fee	
144	920	244	310 Plant issue fee	
122	130	122	130 Petitions to the Commissioner	
123	50	123	50 Processing fee under 37 CFR § 1.17(q)	
126	180	126	180 Submission of Information Disclosure Statement	
581	40	581	40 Recording each patent assignment per property (times number of properties)	40.00
146	740	146	370 Filing a supplement after final rejection (37 CFR § 1.126(a))	
149	740	149	370 For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	179	370 Request for Continued Examination (RCE)	
169	900	169	900 Request for expedited examination of a design application	

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

\$235.00

\*\*or number previously paid, if greater. For Reissues, see above

## SUBMITTED BY

Name (Print/Type)

Signature

Complete if applicable

Registration No.

(Attorney/Agent)

33,531

Telephone

112-808-0700

Date

June 24, 2002

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